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**POWER OF ATTORNEY  
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AND  
CHANGE OF CORRESPONDENCE ADDRESS**

Application Number	10552219
Filing Date	06/29/2006
First Named Inventor	Claudia Scherer-Brodbeck
Title	Method for the construction of randomized g
Art Unit	1636
Examiner Name	Jiske Michele K
Attorney Docket Number	3769 US F

I hereby revoke all previous powers of attorney given in the above-identified application.

 A Power of Attorney is submitted herewith.**OR** I hereby appoint Practitioner(s) associated with the following Customer Number as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith:

26356

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Practitioner(s) Name	Registration Number

Please recognize or change the correspondence address for the above-identified application to:

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Address

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State

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Country

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I am the:

 Applicant/Inventor.**OR** Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) (Form PTO/SB/96) submitted herewith or filed on March 12, 2010.**SIGNATURE of Applicant or Assignee of Record**

Signature	/Jason J. Derry, #50,692/	Date	March 12, 2010
Name	Jason J. Derry	Telephone	817-615-5330
Title and Company	Agent, ESBATech, an Alcon Biomedical Research Unit, LLC		

**NOTE:** Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*. \*Total of \_\_\_\_\_ forms are submitted.

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

## **Privacy Act Statement**

**The Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: ESBATech, an Alcon Biomedical Research Unit, LLDApplication No./Patent No.: 10/552219 Filed/Issue Date: June 29, 2006Titled: METHOD FOR THE CONSTRUCTION OF RANDOMIZED GENE SEQUENCE LIBRARIES IN CELLSESBATech, an Alcon Biomedical Research Unit, LLD, a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1.  the assignee of the entire right, title, and interest in;
  2.  an assignee of less than the entire right, title, and interest in  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %); or
  3.  the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made) the patent application/patent identified above, by virtue of either:
    - A.  An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy therefore is attached.
- OR**
- B.  A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Claudia Scharer-Brodbeck To: ESBATech, AGThe document was recorded in the United States Patent and Trademark Office at  
Reel 017849, Frame 0996, or for which a copy thereof is attached.2. From: ESBATech, AG To: ESBATech, an Alcon Biomedical Research Unit, LLDThe document was recorded in the United States Patent and Trademark Office at  
Reel 023639, Frame 0314, or for which a copy thereof is attached.3. From: ESBATech, an Alcon Biomedical Research Unit, LLD To: ESBATech, an Alcon Biomedical Research Unit, LLDThe document was recorded in the United States Patent and Trademark Office at  
Reel 023720, Frame 0976, or for which a copy thereof is attached. Additional documents in the chain of title are listed on a supplemental sheet(s). As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

/Jason J. Derry, #50,692/March 12, 2010

Signature

Date

Jason J. Derry

Agent

Printed or Typed Name

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
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6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.



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## Assignments on the Web &gt; Patent Query

**Patent Assignment Abstract of Title**

***NOTE: Results display only for issued patents and published applications.  
For pending or abandoned applications please consult USPTO staff.***

**Total Assignments: 3**

Patent #:	NONE	Issue Dt:	Application #:	10552219	Filing Dt:	06/29/2006
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Publication #:	<a href="#">20070298466</a>	Pub Dt:	12/27/2007
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Inventor: Claudia Scherer-Brodbeck

Title: Method For The Construction Of Randomized Gene Sequence Libraries In Cells

**Assignment: 1**

Reel/Frame:	<a href="#">017849/0996</a>	Recorded:	06/27/2006	Pages:	3
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Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignor:	<a href="#">SCHARER-BRODBECK, CLAUDIA</a>	Exec Dt:	11/15/2005
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Assignee:	<a href="#">ESBATECH AG</a> WAGISTRASSE 21 SCHLIEREN, SWITZERLAND CH-8952
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Correspondent: KAREN K. MORFOOT  
233 S. WACKER DRIVE  
6300 SEARS TOWER  
CHICAGO, IL 60606

**Assignment: 2**

Reel/Frame:	<a href="#">023639/0314</a>	Recorded:	12/11/2009	Pages:	5
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Conveyance: CHANGE OF NAME (SEE DOCUMENT FOR DETAILS).

Assignor:	<a href="#">ESBATECH AG</a>	Exec Dt:	10/13/2009
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Assignee:	<a href="#">ESBATECH, AN ALCON BIOMEDICAL RESEARCH UNIT GMBH</a> WAGISTRASSE 21 SCHLIEREN, SWITZERLAND 8952
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Correspondent: MARSHALL, GERSTEIN & BORUN LLP  
233 SOUTH WACKER DRIVE  
6300 SEARS TOWER  
CHICAGO, IL 60606

**Assignment: 3**

Reel/Frame:	<a href="#">023720/0976</a>	Recorded:	01/04/2010	Pages:	7
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Conveyance: CORRECTIVE ASSIGNMENT TO CORRECT THE LEGAL STATUS OF THE ASSIGNEE AS LLC, NOT GMBH PREVIOUSLY RECORDED ON REEL 023639 FRAME 0314. ASSIGNOR(S) HEREBY CONFIRMS THE LEGAL STATUS OF THE ASSIGNEE IS ESBATECH, AN ALCON BIOMEDICAL RESEARCH UNIT LLC.

Assignor:	<a href="#">ESBATECH AG</a>	Exec Dt:	10/13/2009
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Assignee:	<a href="#">ESBATECH, AN ALCON BIOMEDICAL RESEARCH UNIT LLC</a> WAGISTRASSE 21 SCHLIEREN, SWITZERLAND 8952
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Correspondent: MARSHALL, GERSTEIN & BORUN LLP  
233 SOUTH WACKER DRIVE  
6300 SEARS TOWER  
CHICAGO, IL 60606

Search Results as of: 03/12/2010 10:32 AM

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Web interface last modified: October 18, 2008 v.2.0.2

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